

UNDERCOVER POLICING INQUIRY

OPEN GENERIC GROUNDS FOR RESTRICTION

Umbrella category	Open grounds for restriction
HARM TO PEOPLE Names; other details that might identify a person who should not be identified; signatures and handwriting	1. Subject to Anonymity Order
	2. Subject to provisional anonymity i.e. where a decision on anonymity is pending
	3. Name This might apply to a sensitive identity (for example the types described in the statement of Alan Pughsley) or be applied on privacy grounds.
	4. Sufficient other details to identify a person This is intended to be used in relation to a person whose identity is restricted by reason of an anonymity order, provisional anonymity, any other sensitive reason, or on privacy grounds
	5. Signature / handwriting Where there is no other ground for restricting relevant and necessary handwritten information, it will usually be transcribed so that the content can be seen.
	6. Sensitive personal details For example: contact details, medical details, financial details.
HARM TO POLICING Recruitment and training; backstopping and legend building; operational tactics; police assets; capacity and priorities; harm to ongoing investigations or prosecutions.	7. Typical profile of undercover officer
	8. Nature of selection process or training
	9. Common features of a legend
	10. Creation/maintenance of a false life
	11. Sensitive techniques/information that would undermine lawful policing Including the use of sensitive police techniques and tactics - for example, but not limited to, the use of particular technical equipment, surveillance methods, covert online activity, ending a deployment, succession, internal procedures, and methods of addressing or preventing compromise.
	12. Targeting: who and how
	13. Length of deployment
	14. Starting a deployment

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[HARM TO POLICING contd.]	15. Code-name(s)
	16. Format of intelligence
	17. File References
	18. Names of sensitive operations or departments
	19. Sensitive asset and/or infrastructure This might apply, for example, to a physical or electronic asset.
	20. Locations of documents
	21. Capacity
	22. Focus of resources
OTHER Including national security; harm to international relations; damage to commercial interests; reporting restrictions in place in other legal proceedings; and sensitive information considered to be of limited relevance/necessity where it would be disproportionate to require a full application to restrict.	23. Damage to commercial interests/suppliers e.g. tendering
	24. Warrant number
	25. Names/roles of departments
	26. Nature of someone's historic work (where only current work relevant)
	27. Sensitive: other A gist will be applied where possible to do so without undermining the restriction made.
	28. Sensitive and outside the Inquiry's terms of reference This is intended to be used where information appears in an otherwise relevant and necessary document but falls outside the Terms of Reference, and is sensitive.