



# Tenth Update Note

## JULY 2021

UNDERCOVER  
POLICING  
INQUIRY



# Introduction

This is the Undercover Policing Inquiry's tenth update note.

Inquiry update notes provide a snapshot of the Inquiry's recent, current and planned work in getting to the truth of undercover policing and delivering recommendations for the future. In addition, the Inquiry publishes all information on its website, updates on progress via its [Twitter](#) accounts and regularly meets and corresponds with core participants.

In this update note, you will find:

- An outline of activity since July 2020 – including the Inquiry's primary publications;
- Updates on Inquiry activity and progress, including the evidence hearings in November 2020 and April/May 2021;
- Key statistics on anonymity applications and other parts of the Inquiry's work; and
- An updated timeline of the Inquiry's key milestones.

Over the past 12 months, despite the significant disruption caused by the coronavirus pandemic, the Inquiry conducted its first two sets of evidence hearings. Throughout these hearings, the Inquiry [published nearly 3,000 evidential documents](#) on the Inquiry website and heard oral evidence from 27 witnesses. Recordings of most civilian witnesses' oral evidence and transcripts are available on the

[hearing day pages](#) on the Inquiry website.

In preparation for these hearings, the Inquiry held a [directions hearing](#) and issued rulings, directions and protocols related to the conduct of hearings.

In another significant milestone, the Inquiry [referred the first suspected miscarriages of justice](#), identified through its investigations, to a dedicated panel set up by the Home Office.

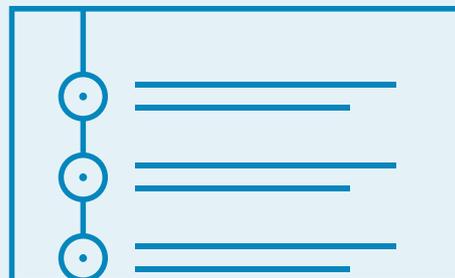
Over the past year, other substantive investigative work has included making progress on investigation files and obtaining witness statements for those involved in future tranches.

The Inquiry has also continued to consider core participant applications – with 15 further individuals and organisations designated as core participants since July 2020 – and anonymity applications made on behalf of National Public Order Intelligence Unit (NPOIU) officers, with final decisions for 16 officers expected to be published in August 2021.

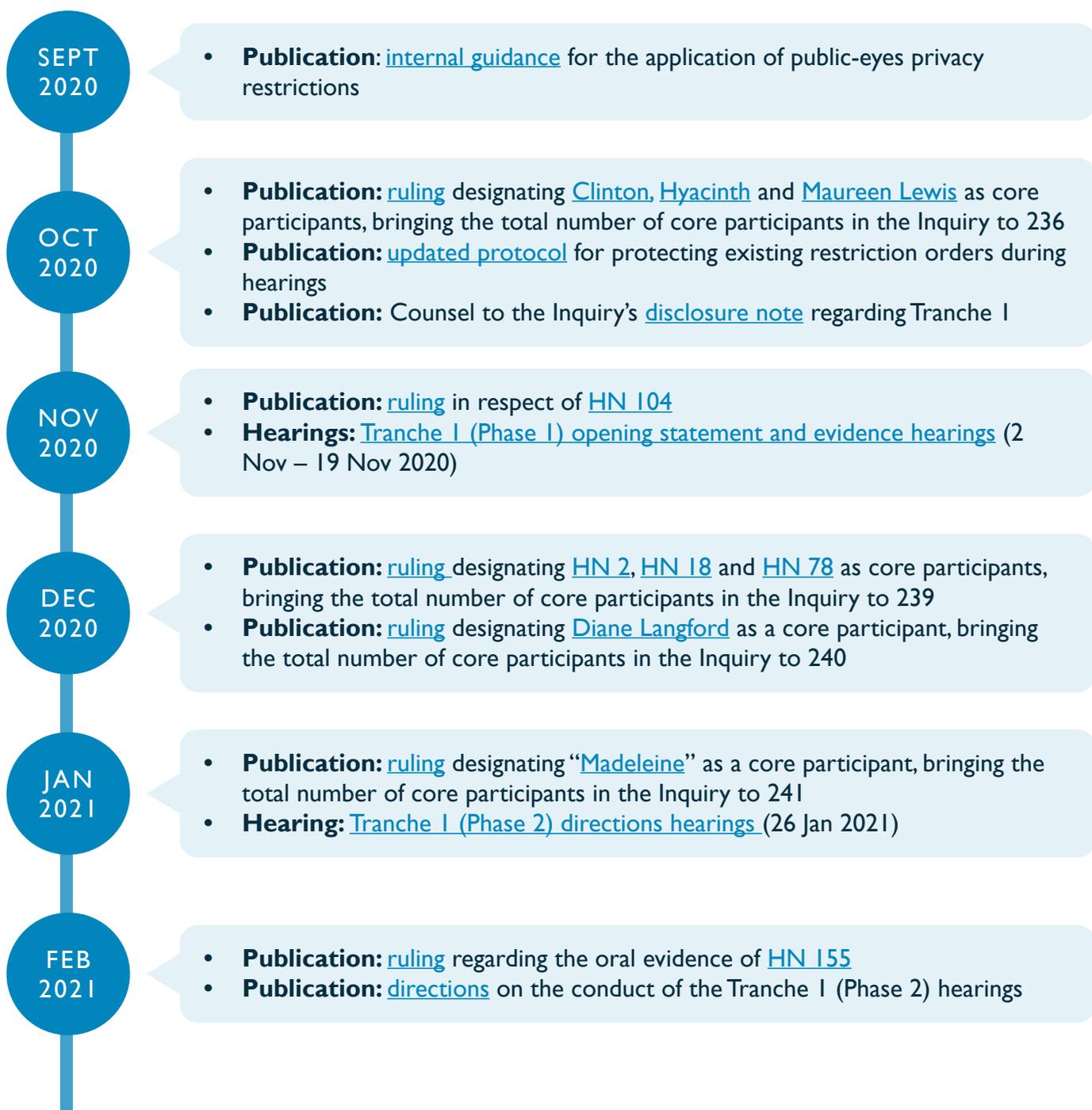
While the Inquiry was able to proceed with hearings virtually, the pandemic has impacted on the Inquiry's timetable due to the significant disruption to both the work of the Inquiry and its core participants.

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# Outline of activity since July 2020



MAR  
2021

- **Publication:** [ruling](#) designating [Stop the War Coalition](#), [Lindsey German](#), [John Rees](#) and [Chris Nineham](#) as core participants, bringing the total number of core participants in the Inquiry to 245
- **Publication:** [ruling](#) regarding the oral evidence of [HN 80](#)

APR  
2021

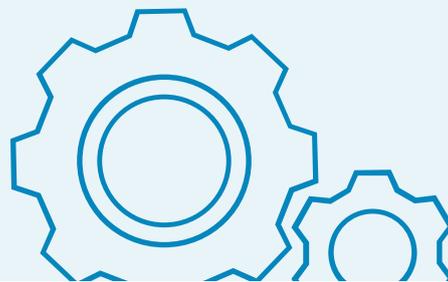
- **Publication:** [ruling](#) regarding [Rosa](#)'s access to the Tranche 1 (Phase 2) evidence hearings
- **Hearing:** [Tranche 1 \(Phase 2\) evidence hearings](#) (21 Apr – 13 May 2021)

MAY  
2021

- **Publication:** first [referral](#) to the Miscarriages of Justice panel.

JUN  
2021

- **Publication:** [ruling](#) designating [Campaign for Nuclear Disarmament](#), [Jane Hickman](#) and [Rebecca Johnson](#) as core participants, bringing the total number of core participants in the Inquiry to 248



# Overview of Inquiry activities and progress

## Evidence hearings

The Inquiry is considering the undercover policing activities of officers and managers and those affected by deployments in tranches ordered chronologically. Tranche 1 has been split into three phases.

Evidence hearings for Tranche 1 started in November 2020 with Phase 1, and the Phase 2 hearings started in April 2021. The evidence hearings for Phase 3, where the Inquiry will primarily hear from SDS managers (1968-1982), are due to start on 9 May 2022.

You can find recordings, transcripts, accompanying written statements, and other associated documents related to the Phase 1 and Phase 2 hearings on the [respective hearing day pages](#).

### Tranche 1 (Phase 1)

The Tranche 1 (Phase 1) hearings ran from 2 November to 19 November 2020 with eight days of opening statement hearings and six days of evidence hearings.

The Inquiry heard from undercover officers and non-state witnesses about the Special Demonstration Squad (SDS) – which was initially called the Special Operations Squad – between July 1968 and the end of 1972 approximately.

Legal representatives of over 25 different organisations, groups and individuals – as well as David Barr

QC Counsel to the Inquiry – delivered oral opening statements.

Eight witnesses gave oral evidence: one civilian witness – Tariq Ali – and seven former SDS undercover police officers. In addition, the written evidence from Ernest Tate – another civilian witness – was read out in full, along with summaries of evidence from nine further SDS undercover police officers.

For further information about evidence, witnesses, and the political context of Tranche 1 (Phase 1), please see the [‘Counsel to the Inquiry’s Opening Statement’](#).

### Tranche 1 (Phase 2)

The Tranche 1 (Phase 2) hearings ran from 21 April to 13 May 2021 with three days of opening statement hearings and 13 days of evidence hearings.

This phase focused on SDS undercover policing operations that started between 1970 and 1979.

Twelve separate oral opening statements specific to the phase were made, including a statement from David Barr QC Counsel to the Inquiry.

Nineteen witnesses gave oral evidence: nine civilian witnesses, eight former SDS undercover police officers (UCOs) and two risk assessors of the UCO HN 155 (“Phil Cooper”). Eleven other witnesses either had summaries of their evidence or their written statements read out.

The Inquiry’s Phase 2 investigations led to the first

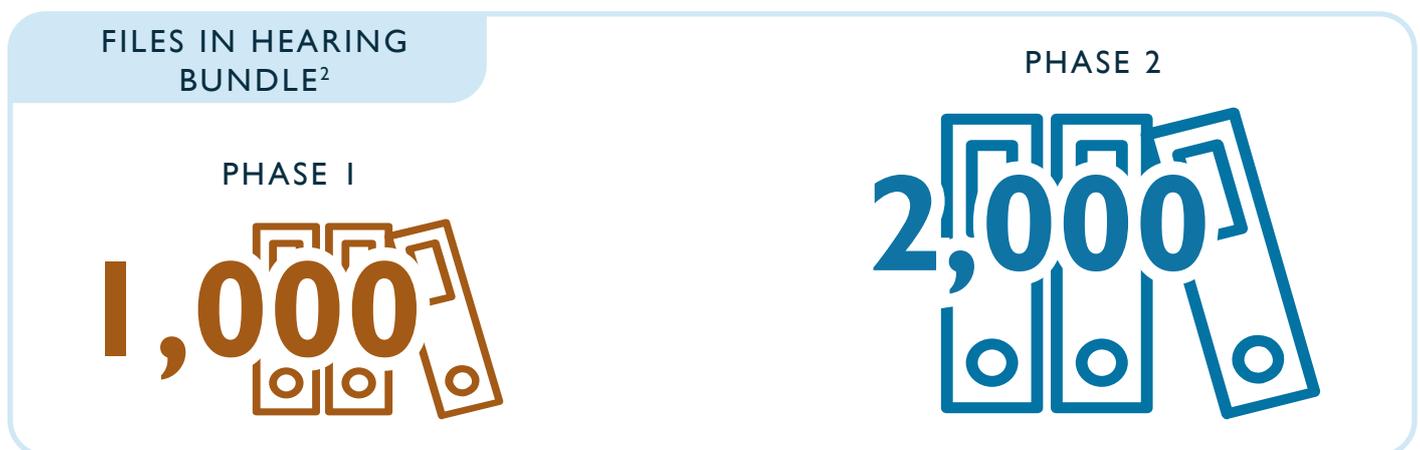
[suspected miscarriages of justice](#) being referred to a dedicated panel set up by the Home Office. The referred case involved 12 individuals and relates to an incident on 12 May 1972 when activists attempted to stop the British Lions rugby team departing the Star and Garter Hotel in Richmond. Fourteen activists, including the undercover officer [HN 298](#) (“Michael Scott”), were subsequently arrested and charged with obstructing the highway and obstructing a police officer in the execution of his duty. Thirteen of the individuals – including [HN 298](#), Christabel Gurney and [Ernest Rodker](#) – were convicted of both offences, one – [Professor Jonathan Rosenhead](#) – was convicted of highway obstruction only, and another was acquitted. Based

on the evidence received by the Inquiry to date, it appears [HN 298](#) pleaded not guilty in his cover name of Michael Scott, and his true identity was not revealed to the prosecutor or the Court.

For further information about evidence, witnesses, and the political context of Tranche I (Phase 2), please see the [‘Counsel to the Inquiry’s Opening Statement for Tranche I Phase 2’](#).

You can find out more about the Inquiry’s investigative approach for Tranche I in [‘Counsel to the Inquiry’s Disclosure Note Regarding Tranche I’](#).

## Key statistics and figures



<sup>1</sup> Deployments that started in this period.

<sup>2</sup> Rounded to nearest 100.

WITNESSES GIVING ORAL EVIDENCE

PHASE I

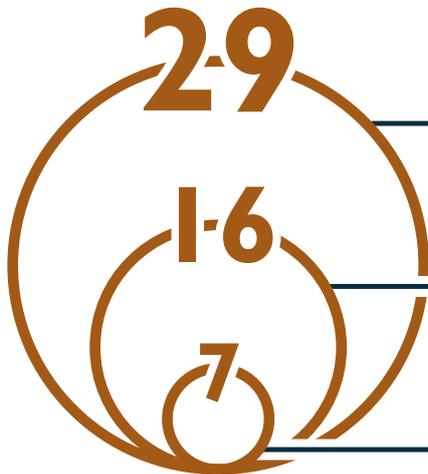


PHASE 2

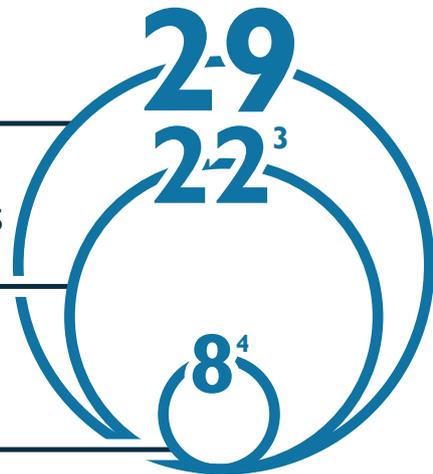


OFFICERS

PHASE I



PHASE 2



TOTAL IN PHASE

PROVIDED WITNESS STATEMENTS

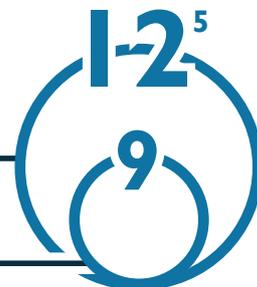
GAVE ORAL EVIDENCE

CIVILIANS

PHASE I



PHASE 2



PROVIDED WITNESS STATEMENTS

GAVE ORAL EVIDENCE

<sup>3</sup> In addition, two risk assessors of HN 155 provided witness statements.

<sup>4</sup> In addition, two risk assessors of HN 155 gave oral evidence.

<sup>5</sup> In addition, the family members of two undercover officers provided witness statements.

# Witness statements

All witness statements requested from former undercover police officers and civilian witnesses for Tranche 1 have now been received with the exception of Lindsey German. Lindsey German is a new non-police, non-state core participant who has recently indicated her willingness to provide potentially important evidence about deployments into the Socialist Workers Party during the period covered by Tranche 1 of the Inquiry's investigations. All but two of the witness statements from Tranche 1 managers have now been received.

For Tranche 2, half of the witness statements from former undercover police officers have been received.<sup>6</sup>

# Anonymity applications

Every participant in the Inquiry has the right to request anonymity through a restriction order.

Further information on anonymity can be found on the Inquiry website [here](#).

## *Special Demonstration Squad (SDS)*

The process for determining anonymity applications from former SDS officers and managers is now complete.

In total, 165 SDS officers have been included in the anonymity process and a further four have had their real and cover names published without being included in the process.

[Sixty-nine cover names](#) along with a list of [96 groups](#) that the SDS was known to have infiltrated have now been published on our website to enable members of the public to determine whether they have been affected by undercover policing and to come forward with evidence. In some cases, the

cover names may not be known – for instance, where no record of the cover name has been found and the officer is deceased.

Further statistics on anonymity applications from former SDS officers are shown on page 12. These may change if new officers are uncovered or come forward at a later date.

## *National Public Order Intelligence Unit (NPOIU)*

In total, there are 67 NPOIU officers who have been included in the anonymity process. Of the 67, 22 are undercover officers and 45 are management staff.

Four NPOIU officers did not pursue applications to restrict their cover names, and they have already been identified on the Inquiry website: “Mark Stone”/Mark Kennedy, “Lynn Watson”, “Rod Richardson” and “Marco Jacobs”. The Inquiry will publish a table of cover names for NPOIU officers in due course.

Further statistics on anonymity applications from NPOIU officers are on page 13<sup>7</sup>. These may change if new officers are uncovered or come forward at a later date.

## *Non-state core participants and witnesses*

All anonymity applications submitted by non-state core participants and witnesses have now been processed; where further individuals come forward or are contacted, the question of anonymity is considered at the outset of the individual's engagement with the Inquiry.

For non-state core participants and witnesses – including women deceived into relationships with undercover officers - anonymity has been granted

<sup>6</sup> Figure corrected 6 August 2021.

<sup>7</sup> These figures include unpublished decisions made by the Chairman on NPOIU anonymity applications. A ruling with these decisions is expected to be published in August 2021

to 33 individuals.

## Issues lists

Another key milestone in the preparation for hearings are the issues lists that identify the focus and direction of the Inquiry's investigations. The Inquiry has now published four lists.

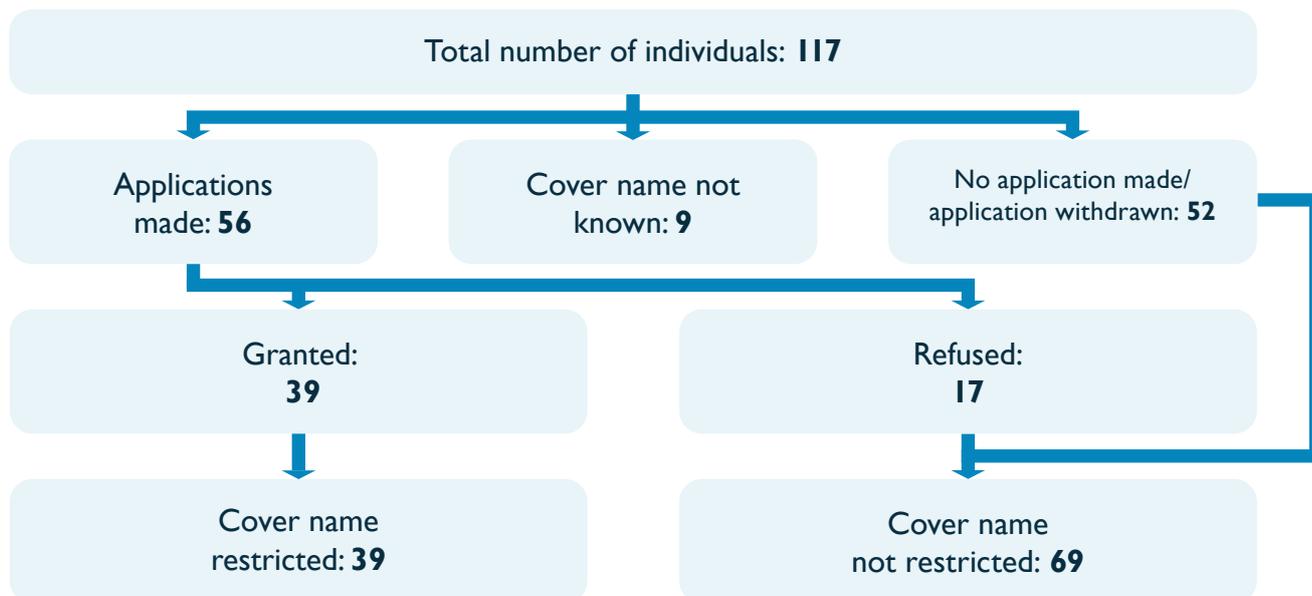
- 1. Module One investigation into the SDS:**  
The [list](#) was published before the period covered by this update note.
- 2. Module One investigation into the NPOIU:** The [list](#) was published before the period covered by this update note.
- 3. Module One investigation into other policing operations:** The [list](#) was published before the period covered by this update note.
- 4. Module Two (a) investigation into the**

**SDS:** the [list](#) was published before the period covered by this update note.

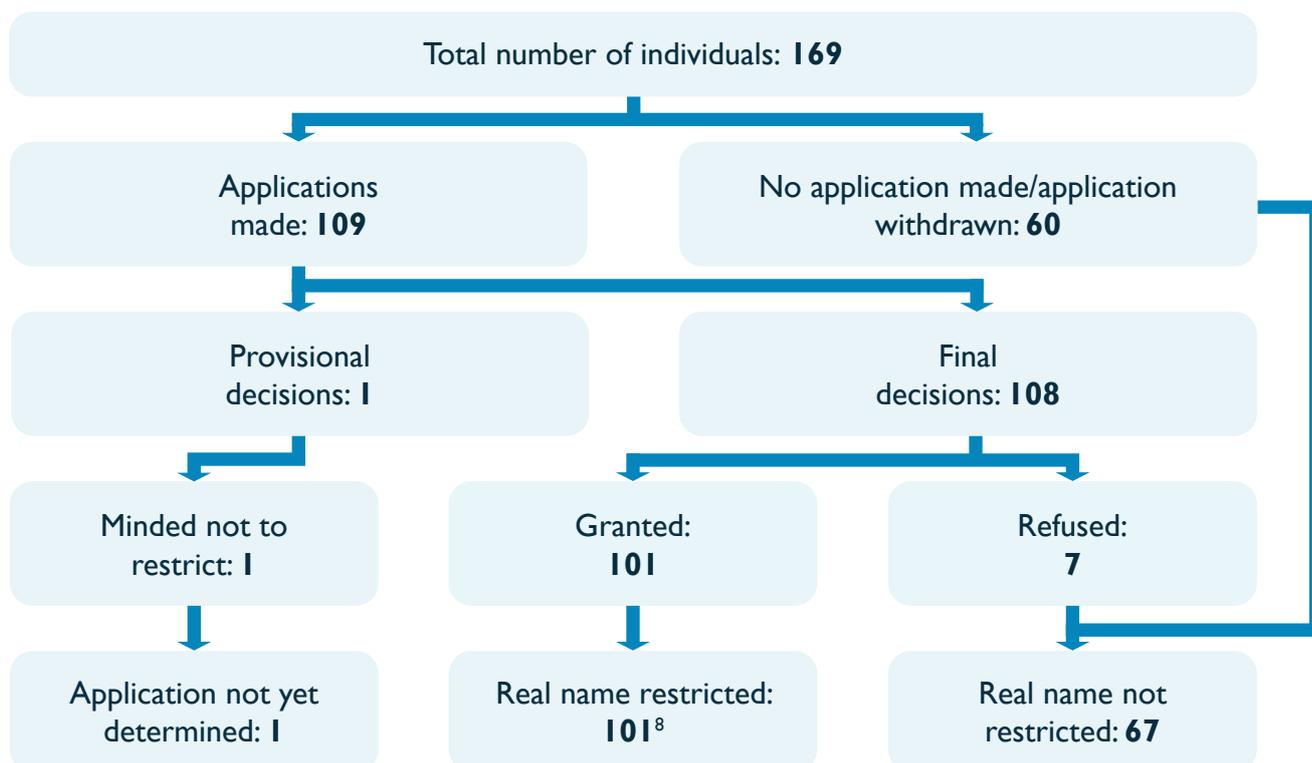
## Costs

As of 30 June 2021, the Inquiry has spent £42,822,600. A full breakdown of the Inquiry costs is available [here](#). These costs are updated on a quarterly basis. The Chairman is supported by a team of around 90 staff.

## Cover names (former SDS undercover police officers)

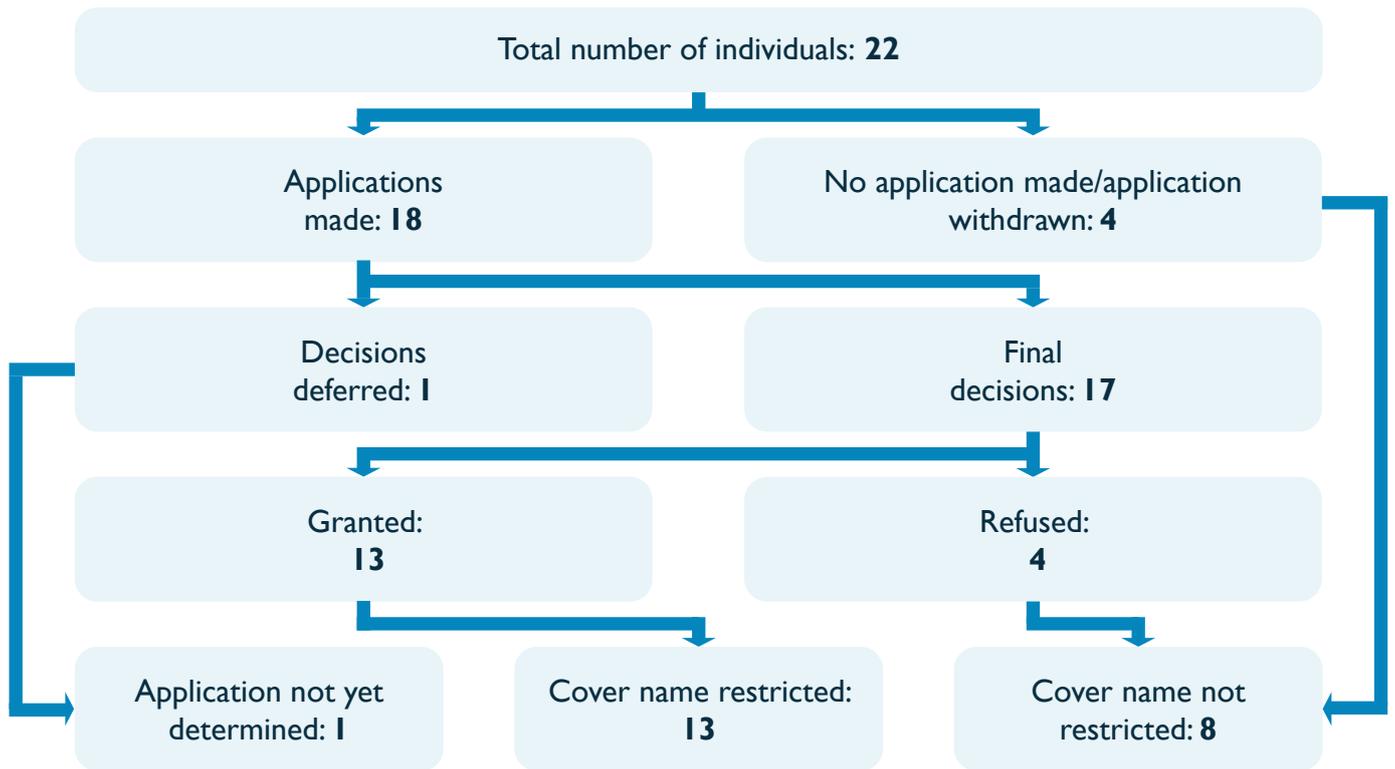


## Real names (all former SDS staff)

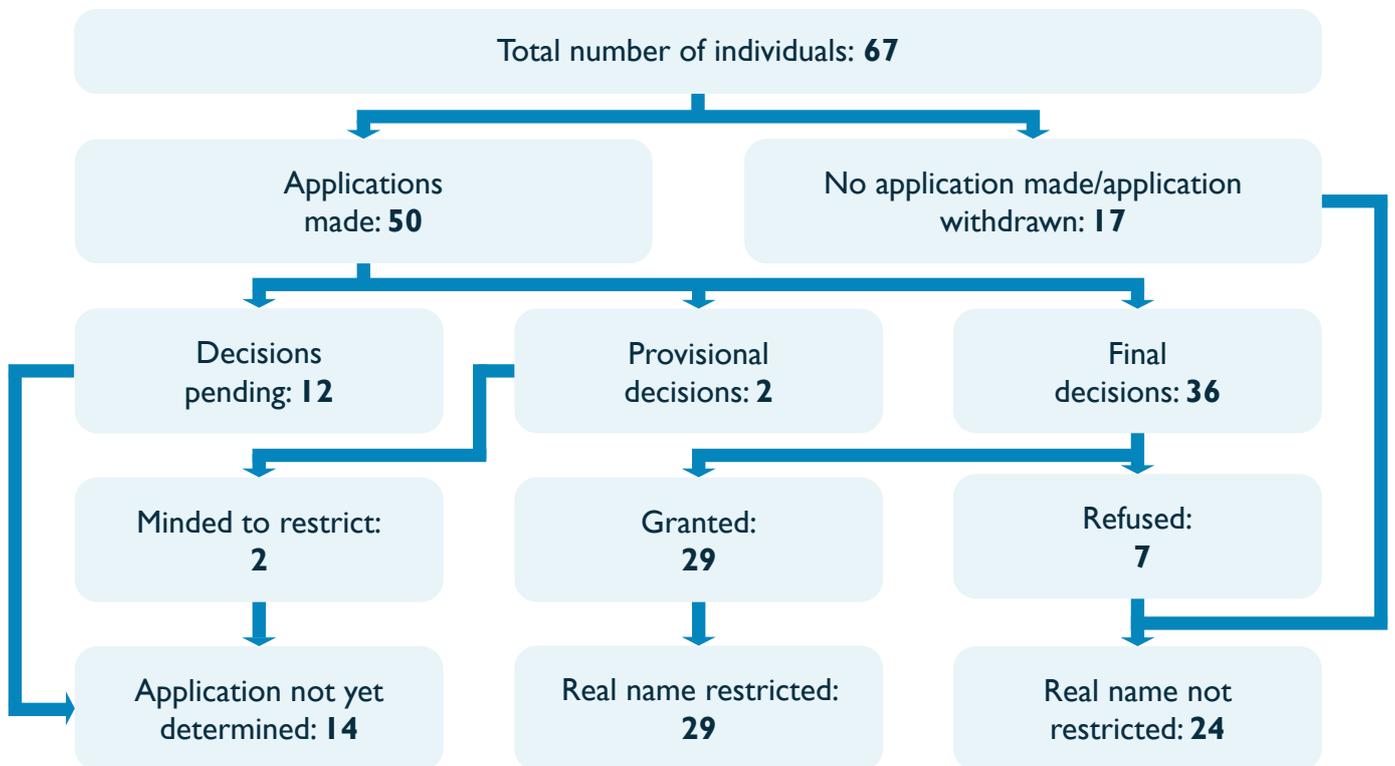


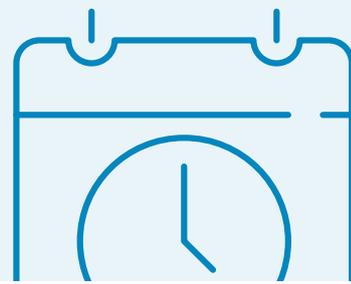
8 The Chairman has [ruled](#) that the restriction order made in respect of the real name of HN 354 will be revoked in the future.

## Cover names (NPOIU undercover police officers)



## Real names (all NPOIU staff)





# Inquiry timetable

The timetable that follows sets out Inquiry projections for its future stages, where these are known. Although there remains some uncertainty around the completion date for certain stages, those milestones on which the dates are more certain are listed.

To manage such a broad remit, the Inquiry has divided its work for Modules One and Two into the following six “tranches”:

1. SDS officers and managers and those affected by deployments (1968-1982)
  - i. Phase 1: SOS officers and those affected by deployments (1968-1972)
  - ii. Phase 2: SDS officers and those affected by deployments (1970-1979)<sup>9</sup>
  - iii. Phase 3: SDS managers (1968-1982)
2. SDS officers and managers and those affected by deployments (1983-1992)
3. SDS officers and managers and those affected by deployments (1993-2007)
4. NPOIU officers and managers and those affected by deployments
5. Other undercover policing and those affected by deployments
6. Management & oversight by mid and senior rank officers, other agencies and government departments

The table will be updated with additional dates on the Inquiry website on a quarterly basis. It is intended that all witnesses required or invited to take part in evidence hearings will be notified six months prior to commencement that the Inquiry would like them to give evidence with an indicative date of when this is likely to be and a more specific date closer to the time.

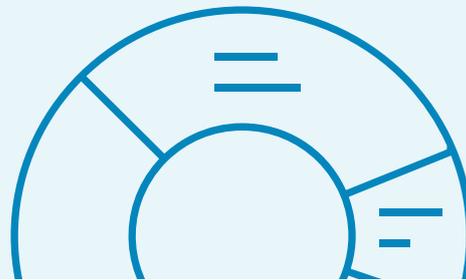
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<sup>9</sup> Deployments that started in this period.

TRANCHE 1	TRANCHE 2	TRANCHE 3	TRANCHE 4	TRANCHE 5	TRANCHE 6
Completion of anonymity applications <sup>10</sup>	Completion of anonymity applications <sup>10</sup>	Completion of anonymity applications <sup>10</sup>	Completion of anonymity applications	TBA	Completion of anonymity applications
<b>COMPLETE</b>	<b>COMPLETE</b>	<b>COMPLETE</b>	TBA	TBA	TBA
Obtaining witness statements from officers and those affected by deployments	Obtaining witness statements from officers and managers	Obtaining witness statements from officers and managers	Obtaining witness statements from officers and managers	TBA	Obtaining witness statements from senior managers
<b>COMPLETE</b>	ONGOING	ONGOING	TBA	TBA	TBA
Obtaining witness statements from managers	Obtaining witness statements from those affected by deployments	Obtaining witness statements from those affected by deployments	Obtaining witness statements from those affected by deployments	TBA	Obtaining witness statements from other agencies, government departments and senior decision-makers
ONGOING	TBA	TBA	TBA	TBA	TBA
Phase 1 evidence hearings	Anticipated commencement of hearing	Anticipated commencement of hearing	Anticipated commencement of hearing	Anticipated commencement of hearing	Anticipated commencement of hearing
<b>COMPLETE</b>					
Phase 2 evidence hearings					
<b>COMPLETE</b>					
Phase 3 evidence hearings					
9 MAY 2022	TBA	TBA	TBA	TBA	TBA
Closing submissions for Modules One and Two					
TBA					
Publication of interim report					
TBA					

<sup>10</sup> There may be a few instances where new individuals are uncovered or come forward at a later date.

Module Three
Panel Members for Module Three in place
TBA
Closing submissions for Module Three
TBA
Module Three completed and delivery of Final Report to Home Secretary, ahead of publication
TBA



# Other Inquiry statistics

## Core participants and other associated parties

- Core participants: **248**
- Number of core participants receiving funding for legal costs: **220**
- SDS and NPOIU staff identified as potentially having relevant evidence to give: **233**

## Witness statements<sup>11</sup>

- Investigation files completed: **143**
- Officer witness statements requested as part of substantive investigations: **76**
- Officer witness statements received as part of substantive investigations: **64**
- Civilian witness statements requested as part of substantive investigations: **13**
- Civilian witness statements received as part of substantive investigations: **14**

## Publications

- Directions published: **45**
- Rulings and notes published: **170**
- Orders published: **185**

## Hearings

- Days of evidence hearings: **19**
- Days of opening statement hearings: **11**
- Days of directions hearings and preliminary hearings: **18**

Further information on the Inquiry can be found in [our FAQs](#) and on [our website](#).

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<sup>11</sup> These figures only includes witness statements requested and received in relation to the Inquiry's substantive investigations. This does not include the total number of requests for information made by the Inquiry including those in relation to preliminary issues such as deceased children's identifies, privacy, standard of proof and undertakings.



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