Introduction

This is the Undercover Policing Inquiry’s tenth update note.

Inquiry update notes provide a snapshot of the Inquiry’s recent, current and planned work in getting to the truth of undercover policing and delivering recommendations for the future. In addition, the Inquiry publishes all information on its website, updates on progress via its Twitter accounts and regularly meets and corresponds with core participants.

In this update note, you will find:

- An outline of activity since July 2020 – including the Inquiry’s primary publications;
- Updates on Inquiry activity and progress, including the evidence hearings in November 2020 and April/May 2021;
- Key statistics on anonymity applications and other parts of the Inquiry’s work; and
- An updated timeline of the Inquiry’s key milestones.

Over the past 12 months, despite the significant disruption caused by the coronavirus pandemic, the Inquiry conducted its first two sets of evidence hearings. Throughout these hearings, the Inquiry published nearly 3,000 evidential documents on the Inquiry website and heard oral evidence from 27 witnesses. Recordings of most civilian witnesses’ oral evidence and transcripts are available on the hearing day pages on the Inquiry website.

In preparation for these hearings, the Inquiry held a directions hearing and issued rulings, directions and protocols related to the conduct of hearings.

In another significant milestone, the Inquiry referred the first suspected miscarriages of justice, identified through its investigations, to a dedicated panel set up by the Home Office.

Over the past year, other substantive investigative work has included making progress on investigation files and obtaining witness statements for those involved in future tranches.

The Inquiry has also continued to consider core participant applications – with 15 further individuals and organisations designated as core participants since July 2020 – and anonymity applications made on behalf of National Public Order Intelligence Unit (NPOIU) officers, with final decisions for 16 officers expected to be published in August 2021.

While the Inquiry was able to proceed with hearings virtually, the pandemic has impacted on the Inquiry’s timetable due to the significant disruption to both the work of the Inquiry and its core participants.
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Outline of activity since July 2020

**SEPT 2020**
- **Publication:** internal guidance for the application of public-eyes privacy restrictions

**OCT 2020**
- **Publication:** ruling designating Clinton, Hyacinth and Maureen Lewis as core participants, bringing the total number of core participants in the Inquiry to 236
- **Publication:** updated protocol for protecting existing restriction orders during hearings
- **Publication:** Counsel to the Inquiry’s disclosure note regarding Tranche 1

**NOV 2020**
- **Publication:** ruling in respect of HN 104
- **Hearings:** Tranche 1 (Phase 1) opening statement and evidence hearings (2 Nov – 19 Nov 2020)

**DEC 2020**
- **Publication:** ruling designating HN 2, HN 18 and HN 78 as core participants, bringing the total number of core participants in the Inquiry to 239
- **Publication:** ruling designating Diane Langford as a core participant, bringing the total number of core participants in the Inquiry to 240

**JAN 2021**
- **Publication:** ruling designating “Madeleine” as a core participant, bringing the total number of core participants in the Inquiry to 241
- **Hearing:** Tranche 1 (Phase 2) directions hearings (26 Jan 2021)

**FEB 2021**
- **Publication:** ruling regarding the oral evidence of HN 155
- **Publication:** directions on the conduct of the Tranche 1 (Phase 2) hearings
- **Publication:** ruling designating Stop the War Coalition, Lindsey German, John Rees and Chris Nineham as core participants, bringing the total number of core participants in the Inquiry to 245
- **Publication:** ruling regarding the oral evidence of HN 80

**APR 2021**

- **Publication:** ruling regarding Rosa’s access to the Tranche 1 (Phase 2) evidence hearings
- **Hearing:** Tranche 1 (Phase 2) evidence hearings (21 Apr – 13 May 2021)

**MAY 2021**

- **Publication:** first referral to the Miscarriages of Justice panel.

**JUN 2021**

- **Publication:** ruling designating Campaign for Nuclear Disarmament, Jane Hickman and Rebecca Johnson as core participants, bringing the total number of core participants in the Inquiry to 248
Overview of Inquiry activities and progress

Evidence hearings

The Inquiry is considering the undercover policing activities of officers and managers and those affected by deployments in tranches ordered chronologically. Tranche 1 has been split into three phases.

Evidence hearings for Tranche 1 started in November 2020 with Phase 1, and the Phase 2 hearings started in April 2021. The evidence hearings for Phase 3, where the Inquiry will primarily hear from SDS managers (1968-1982), are due to start on 9 May 2022.

You can find recordings, transcripts, accompanying written statements, and other associated documents related to the Phase 1 and Phase 2 hearings on the respective hearing day pages.

Tranche 1 (Phase 1)

The Tranche 1 (Phase 1) hearings ran from 2 November to 19 November 2020 with eight days of opening statement hearings and six days of evidence hearings.

The Inquiry heard from undercover officers and non-state witnesses about the Special Demonstration Squad (SDS) – which was initially called the Special Operations Squad – between July 1968 and the end of 1972 approximately.

Legal representatives of over 25 different organisations, groups and individuals – as well as David Barr QC Counsel to the Inquiry – delivered oral opening statements.

Eight witnesses gave oral evidence: one civilian witness – Tariq Ali – and seven former SDS undercover police officers. In addition, the written evidence from Ernest Tate – another civilian witness – was read out in full, along with summaries of evidence from nine further SDS undercover police officers.

For further information about evidence, witnesses, and the political context of Tranche 1 (Phase 1), please see the ‘Counsel to the Inquiry’s Opening Statement’.

Tranche 1 (Phase 2)

The Tranche 1 (Phase 2) hearings ran from 21 April to 13 May 2021 with three days of opening statement hearings and 13 days of evidence hearings.

This phase focused on SDS undercover policing operations that started between 1970 and 1979.

Twelve separate oral opening statements specific to the phase were made, including a statement from David Barr QC Counsel to the Inquiry.

Nineteen witnesses gave oral evidence: nine civilian witnesses, eight former SDS undercover police officers (UCOs) and two risk assessors of the UCO HN 155 (“Phil Cooper”). Eleven other witnesses either had summaries of their evidence or their written statements read out.

The Inquiry’s Phase 2 investigations led to the first
suspected miscarriages of justice being referred to a dedicated panel set up by the Home Office. The referred case involved 12 individuals and relates to an incident on 12 May 1972 when activists attempted to stop the British Lions rugby team departing the Star and Garter Hotel in Richmond. Fourteen activists, including the undercover officer HN 298 ("Michael Scott"), were subsequently arrested and charged with obstructing the highway and obstructing a police officer in the execution of his duty. Thirteen of the individuals – including HN 298, Christabel Gurney and Ernest Rodker – were convicted of both offences, one – Professor Jonathan Rosenhead – was convicted of highway obstruction only, and another was acquitted. Based on the evidence received by the Inquiry to date, it appears HN 298 pleaded not guilty in his cover name of Michael Scott, and his true identity was not revealed to the prosecutor or the Court.

For further information about evidence, witnesses, and the political context of Tranche 1 (Phase 2), please see the ‘Counsel to the Inquiry’s Opening Statement for Tranche 1, Phase 2’.

You can find out more about the Inquiry’s investigative approach for Tranche 1 in ‘Counsel to the Inquiry’s Disclosure Note Regarding Tranche 1’.

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Key statistics and figures

<table>
<thead>
<tr>
<th>TIME PERIOD</th>
<th>PHASE 1</th>
<th>SDS officers and those affected by deployments</th>
<th>1968-1972</th>
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<td>SDS officers and those affected by deployments</td>
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<td>SDS managers</td>
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<th>FILES IN HEARING BUNDLE²</th>
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<td>PHASE 2</td>
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</table>

¹ Deployments that started in this period.
² Rounded to nearest 100.
In addition, two risk assessors of HN 155 provided witness statements.

4 In addition, two risk assessors of HN 155 gave oral evidence.

5 In addition, the family members of two undercover officers provided witness statements.
Witness statements

All witness statements requested from former undercover police officers and civilian witnesses for Tranche 1 have now been received with the exception of Lindsey German. Lindsey German is a new non-police, non-state core participant who has recently indicated her willingness to provide potentially important evidence about deployments into the Socialist Workers Party during the period covered by Tranche 1 of the Inquiry’s investigations. All but two of the witness statements from Tranche 1 managers have now been received.

For Tranche 2, half of the witness statements from former undercover police officers have been received.

Anonymity applications

Every participant in the Inquiry has the right to request anonymity through a restriction order.

Further information on anonymity can be found on the Inquiry website here.

Special Demonstration Squad (SDS)

The process for determining anonymity applications from former SDS officers and managers is now complete.

In total, 165 SDS officers have been included in the anonymity process and a further four have had their real and cover names published without being included in the process.

Sixty-nine cover names along with a list of 96 groups that the SDS was known to have infiltrated have now been published on our website to enable members of the public to determine whether they have been affected by undercover policing and to come forward with evidence. In some cases, the cover names may not be known – for instance, where no record of the cover name has been found and the officer is deceased.

Further statistics on anonymity applications from former SDS officers are shown on page 12. These may change if new officers are uncovered or come forward at a later date.

National Public Order Intelligence Unit (NPOIU)

In total, there are 67 NPOIU officers who have been included in the anonymity process. Of the 67, 22 are undercover officers and 45 are management staff.

Four NPOIU officers did not pursue applications to restrict their cover names, and they have already been identified on the Inquiry website: “Mark Stone”/Mark Kennedy, “Lynn Watson”, “Rod Richardson” and “Marco Jacobs”. The Inquiry will publish a table of cover names for NPOIU officers in due course.

Further statistics on anonymity applications from NPOIU officers are on page 13. These may change if new officers are uncovered or come forward at a later date.

Non-state core participants and witnesses

All anonymity applications submitted by non-state core participants and witnesses have now been processed; where further individuals come forward or are contacted, the question of anonymity is considered at the outset of the individual’s engagement with the Inquiry.

For non-state core participants and witnesses – including women deceived into relationships with undercover officers - anonymity has been granted.

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6 Figure corrected 6 August 2021.

7 These figures include unpublished decisions made by the Chairman on NPOIU anonymity applications. A ruling with these decisions is expected to be published in August 2021
to 33 individuals.

Issues lists

Another key milestone in the preparation for hearings are the issues lists that identify the focus and direction of the Inquiry’s investigations. The Inquiry has now published four lists.

1. **Module One investigation into the SDS:** The list was published before the period covered by this update note.
2. **Module One investigation into the NPOIU:** The list was published before the period covered by this update note.
3. **Module One investigation into other policing operations:** The list was published before the period covered by this update note.
4. **Module Two (a) investigation into the SDS:** the list was published before the period covered by this update note.

Costs

As of 30 June 2021, the Inquiry has spent £42,822,600. A full breakdown of the Inquiry costs is available [here](#). These costs are updated on a quarterly basis. The Chairman is supported by a team of around 90 staff.
Cover names (former SDS undercover police officers)

Total number of individuals: 117

Applications made: 56
- Cover name not known: 9
- No application made/application withdrawn: 52

Cover name not restricted: 69
- Granted: 39
- Refused: 17

Cover name restricted: 39

Real names (all former SDS staff)

Total number of individuals: 169

Applications made: 109
- No application made/application withdrawn: 60

Provisional decisions: 1
- Minded not to restrict: 1
- Application not yet determined: 1

Final decisions: 108
- Granted: 101
- Refused: 7

Real name restricted: 101
- Real name not restricted: 67

8 The Chairman has ruled that the restriction order made in respect of the real name of HN 354 will be revoked in the future.
Cover names (NPOIU undercover police officers)

- Total number of individuals: 22
- Applications made: 18
  - Decisions deferred: 1
  - Granted: 13
    - Application not yet determined: 1
  - Refused: 4
    - Cover name restricted: 13
    - Cover name not restricted: 8
- Final decisions: 17
- Provisional decisions: 2
- Real names (all NPOIU staff)
  - Total number of individuals: 22
  - Applications made: 18
    - Decisions deferred: 1
    - Final decisions: 17
  - No application made/application withdrawn: 4
  - Decisions pending: 12
  - Minded to restrict: 2
    - Application not yet determined: 14
  - Granted: 29
    - Real name restricted: 29
  - Refused: 7
    - Real name not restricted: 24
- Real name restricted: 29
- Real name not restricted: 24
Inquiry timetable

The timetable that follows sets out Inquiry projections for its future stages, where these are known. Although there remains some uncertainty around the completion date for certain stages, those milestones on which the dates are more certain are listed.

To manage such a broad remit, the Inquiry has divided its work for Modules One and Two into the following six “tranches”:

1. SDS officers and managers and those affected by deployments (1968-1982)
   i. Phase 1: SOS officers and those affected by deployments (1968-1972)
   ii. Phase 2: SDS officers and those affected by deployments (1970-1979)\(^9\)
2. SDS officers and managers and those affected by deployments (1983-1992)
3. SDS officers and managers and those affected by deployments (1993-2007)
4. NPOIU officers and managers and those affected by deployments
5. Other undercover policing and those affected by deployments
6. Management & oversight by mid and senior rank officers, other agencies and government departments

The table will be updated with additional dates on the Inquiry website on a quarterly basis. It is intended that all witnesses required or invited to take part in evidence hearings will be notified six months prior to commencement that the Inquiry would like them to give evidence with an indicative date of when this is likely to be and a more specific date closer to the time.

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\(^9\) Deployments that started in this period.
<table>
<thead>
<tr>
<th>TRANCHE 1</th>
<th>TRANCHE 2</th>
<th>TRANCHE 3</th>
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10 There may be a few instances where new individuals are uncovered or come forward at a later date.

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Module Three

Panel Members for Module Three in place
TBA

Closing submissions for Module Three
TBA

Module Three completed and delivery of Final Report to Home Secretary, ahead of publication
TBA
Other Inquiry statistics

Core participants and other associated parties

- Core participants: **248**
- Number of core participants receiving funding for legal costs: **220**
- SDS and NPOIU staff identified as potentially having relevant evidence to give: **233**

Witness statements

- Investigation files completed: **143**
- Officer witness statements requested as part of substantive investigations: **76**
- Officer witness statements received as part of substantive investigations: **64**
- Civilian witness statements requested as part of substantive investigations: **13**
- Civilian witness statements received as part of substantive investigations: **14**

Publications

- Directions published: **45**
- Rulings and notes published: **170**
- Orders published: **185**

Hearings

- Days of evidence hearings: **19**
- Days of opening statement hearings: **11**
- Days of directions hearings and preliminary hearings: **18**

Further information on the Inquiry can be found in our FAQs and on our website.

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These figures only includes witness statements requested and received in relation to the Inquiry’s substantive investigations. This does not include the total number of requests for information made by the Inquiry including those in relation to preliminary issues such as deceased children’s identifies, privacy, standard of proof and undertakings.