



# Eleventh Update Note

## JULY 2022

UNDERCOVER  
POLICING  
INQUIRY



# Introduction

This is the Undercover Policing Inquiry's eleventh update note.

Inquiry update notes provide a snapshot of the Inquiry's recent, current and planned work in getting to the truth of undercover policing and delivering recommendations for the future. In addition, the Inquiry publishes all information on its website, updates on progress via its Twitter account and regularly meets and corresponds with core participants and members of the media.

In this update note, you will find:

- An outline of activity since July 2021 – including the Inquiry's primary publications and announcements;
- Updates on Inquiry activity and progress, including the evidence hearings in May 2022;
- Key statistics on anonymity applications and other parts of the Inquiry's work; and
- An updated timeline of the Inquiry's key milestones.

This year, the Inquiry was able to conduct its [first in-person evidence hearings](#) after having to run the first two sets of evidence hearings virtually due to the coronavirus (COVID-19) pandemic. The hearings covered Phase 3 of the Inquiry's Tranche 1 investigations and took place in May 2022.

Recordings of the oral evidence and transcripts from this last set of hearings are available on the [hearing day pages](#) on the Inquiry website.

Throughout the Tranche 1 hearings, the Inquiry published [nearly 3,200 evidential files](#) on its website, heard evidence from 41 witnesses and referred the [first suspected miscarriages of justice](#) to a dedicated panel set up by the Home Office. The evidential files include witness statements; reporting from undercover officers on the groups and events they infiltrated; annual reports from the Chief Inspector of the Special Demonstration Squad (SDS) to the Special Branch Commander; and correspondence between Special Branch, the Home Office and the Security Service.

Other substantive investigative work over the past year has included making progress on investigation files and obtaining witness statements for those involved in future tranches. The Inquiry has also continued to consider core participant applications – with two further individuals and organisations designed as core participants since July 2021 – and anonymity applications made on behalf of National Public Order Intelligence Unit (NPOIU) officers, with [final decisions published for 16](#)

[officers](#). Updated statistics on core participant and anonymity applications are provided later in this document.

The Inquiry has recently announced two changes that bring forward some of its investigative work and outputs. Firstly, Tranche 6 – which covers management and oversight of undercover deployments by mid- and senior-rank officers, other agencies and government departments – will now be investigated in the relevant tranche for the relevant period or policing unit, rather than after Tranche 5. Secondly, the Chairman now intends to produce an interim report for Tranche 1 to enable those who had participated in Tranche 1 to have the Chairman’s findings without having to wait until the end of the Inquiry. The impact of these changes on the Inquiry’s key milestones is shown in the ‘Timetable’ section of this document.

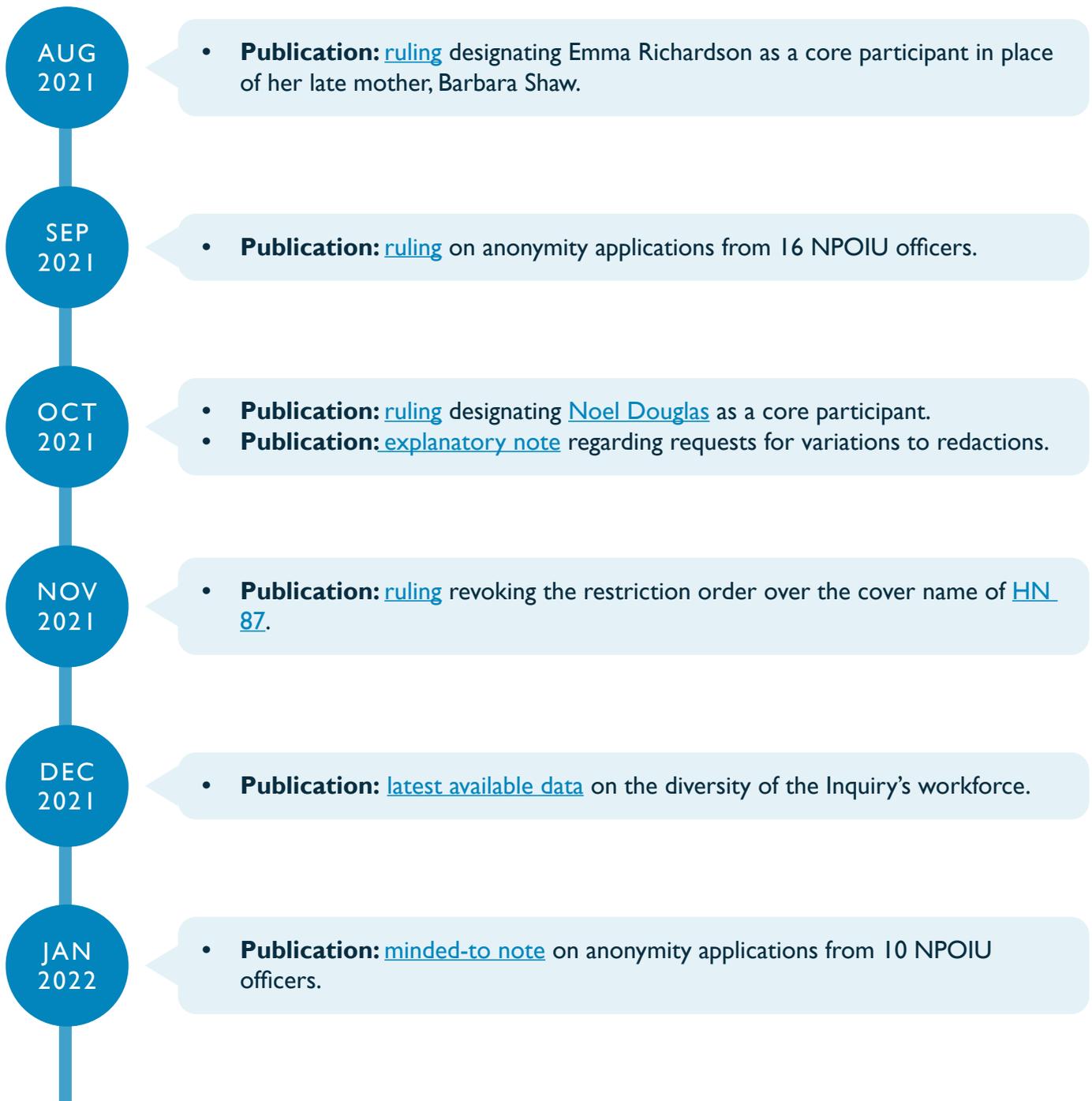
Looking ahead, the Inquiry will continue its preparations for the Tranche 2 evidence hearings, which include identifying, sourcing and assessing relevant documents, gathering witness statements and putting thousands of documents through the restrictions order process. The Tranche 2 hearings are expected to take place in the spring of 2024. The Inquiry is also progressing its Tranche 3 and 4 investigations concurrently with this work.

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# Outline of activity since July 2021



FEB  
2022

- **Publication:** [ruling](#) appointing Inquiry Friends to [HN 67](#) and [HN 303](#).

MAR  
2022

- **Publication:** [directions](#) on the conduct of the Tranche I (Phase 3) hearings.

APR  
2022

- **Publication:** [ruling](#) cancelling the designation of “Lizzie” as a core participant at her request.
- **Publication:** [draft issues list](#) for Module Two (B) investigation into the SDS.

MAY  
2022

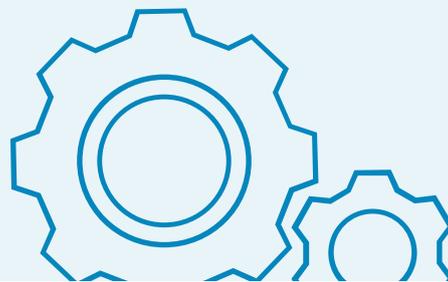
- **Hearing:** [Tranche I \(Phase 3\) evidence hearings](#) (9 May – 20 May 2022).

JUN  
2022

- **Publication:** draft Module Two (C) [issues list](#) for Tranche I.

JUL  
2022

- **Publication:** [minded-to note](#) on the restriction orders over the real names of four former SDS officers who are now deceased.
- **Publication:** updated [issues list](#) for Module Two (B) investigation into the SDS.
- **Publication:** updated Module Two (C) [issues list](#) for Tranche I.



# Overview of Inquiry activities and progress

## Evidence hearings

The Inquiry is considering the undercover policing activities of officers and managers and those affected by deployments in tranches ordered chronologically. Tranche 6 is being investigated in the relevant tranche for the relevant period or policing unit.

Tranche 1 has been split into three phases. [Evidence hearings for Phase 1](#) started in November 2020, when the Inquiry heard from undercover officers and non-state witnesses about the SDS – which was initially called the Special Operations Squad – between July 1968 and the end of 1972 approximately. The [Phase 2 evidence hearings](#) started in April 2021. This phase focused on SDS undercover policing operations that started between 1970 and 1979. The [evidence hearings for Phase 3](#), where the Inquiry primarily heard from SDS managers (1968-1982), took place in May 2022.

Additionally, five former SDS undercover police officers from the Tranche 1 era gave oral evidence at a closed hearing; five attributed transcripts from the hearing together with some unattributed excerpts have been published on the Inquiry website. The five officers are [HN 21](#), [HN 41](#), [HN 109](#), [HN 302](#) and [HN 341](#).

The next set of evidence hearings will cover Tranche 2, which focuses on Special Demonstration Squad officers and managers and those affected by deployments between 1983 and 1992. These hearings are expected to start in the spring of 2024.

The Inquiry does not expect to have the long gaps between phases that were necessary for Tranche 1.

## *Tranche 1 (Phase 3)*

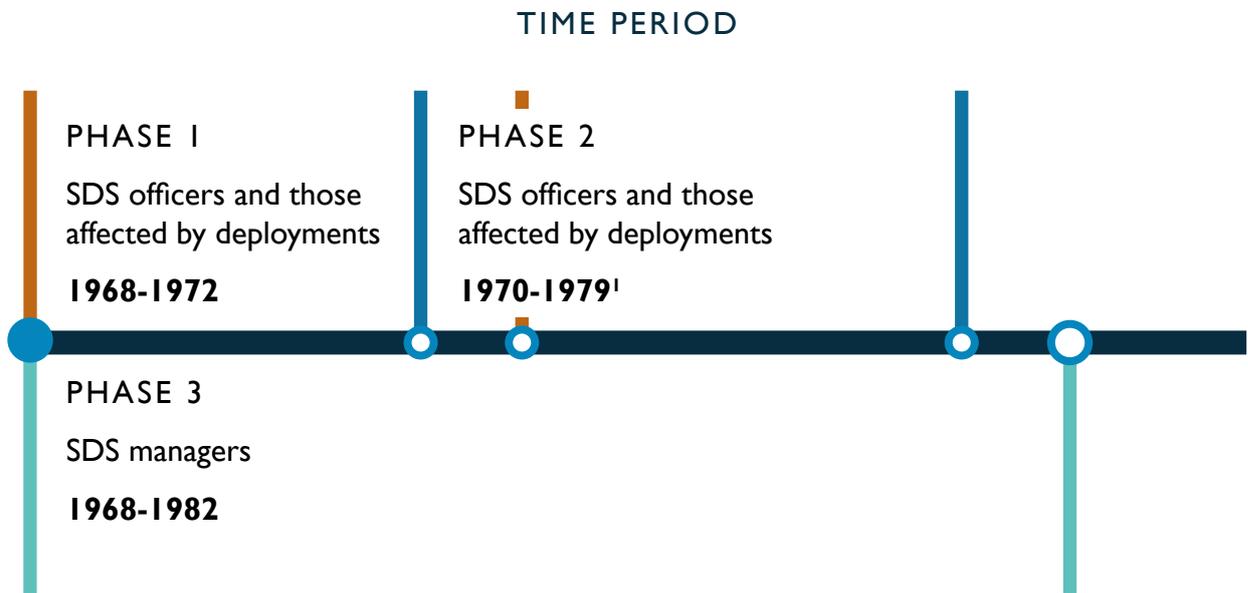
The Tranche 1 (Phase 3) hearings ran from 9 May to 20 May 2022, with three days of opening statement hearings and seven days of evidence hearings.

Fourteen separate oral opening statements specific to the phase were made, including a statement from David Barr QC Counsel to the Inquiry.

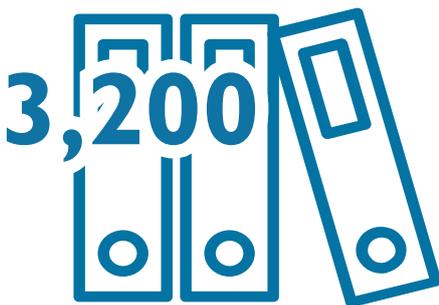
Nine witnesses gave oral evidence: two civilian witnesses and seven former SDS managers. In addition, six SDS managers had summaries of their evidence or their written statements read out, and eleven SDS managers had documents associated with them introduced and published on the Inquiry website.

For further information about evidence, witnesses, and the political and geopolitical context of Tranche 1 (Phase 3), please see the [‘Counsel to the Inquiry’s Opening Statement for Tranche 1 Phase 3’](#).

# Key statistics and figures for Tranche 1



FILES IN TRANCHE 1  
HEARING BUNDLES<sup>2</sup>



WITNESSES GIVING  
ORAL EVIDENCE



<sup>1</sup> Deployments that started in this period.  
<sup>2</sup> Rounded to nearest 100.

## SDS OFFICERS

## SDS MANAGERS



## CIVILIANS



## Witness statements

All witness statements requested from former undercover police officers and civilian witnesses for Tranche I have now been received.

In relation to the Inquiry's Module Two (C) investigations for the Tranche I era – which involves government bodies other than the police with a connection to undercover policing – the Inquiry intends to request statements from five individuals at the Home Office.

The Inquiry has also requested a witness statement from an individual at the Metropolitan Police Service as part of its Module Two (B) investigations into the SDS, which involves senior managers higher in the chain of command as well as police personnel who handled intelligence provided by undercover police officers. This statement is in addition to two other statements that the Inquiry has already received in relation to its Module Two (B) investigations into the SDS – one from [HN 34](#) and the other from [HN 103](#).

<sup>3</sup> In addition, two risk assessors of HN 155 provided witness statements.

<sup>4</sup> In addition, two risk assessors of HN 155 gave oral evidence.

<sup>5</sup> In addition, the family members of two undercover officers provided witness statements.

For Tranche 2, 90% of the witness statements from former undercover police officers have been received.

## Anonymity applications

Every participant in the Inquiry has the right to request anonymity through a restriction order.

Further information on anonymity can be found on the [‘Who is involved’](#) page of the Inquiry website.

### *Special Demonstration Squad (SDS)*

The process for determining anonymity applications from former SDS officers and managers is substantively complete.

In total, 165 SDS officers have been included in the anonymity process, and a further four have had their real and cover names published without being included in the process. Of the 169, 51 are management or back-office staff, and 118 are undercover police officers.

[Seventy cover names](#) along with a list of 102 groups that the SDS was known to have infiltrated have now been published on our website to enable members of the public to determine whether they have been affected by undercover policing and to come forward with evidence. In some cases, the cover names may not be known – for instance, where no record of the cover name has been found and the officer is deceased.

Where there is no restriction order over an officer’s real name, it is displayed in the SDS officer table on the Inquiry website after it has been published in documentary evidence or otherwise shared publicly by the Inquiry. The real names of 36 former SDS officers are currently displayed in the table.

Information on the groups that officers are known to have infiltrated or reported on will continue to be added to the website.

Further statistics on anonymity applications from former SDS officers are shown on page 12. These may change if new officers are uncovered or come forward at a later date.

### *National Public Order Intelligence Unit (NPOIU)*

In total, there are 67 NPOIU officers who have been included in the anonymity process. Of the 67, 22 are undercover officers and 45 are management staff.

Four NPOIU officers did not pursue applications to restrict their cover names, which are displayed in the [NPOIU officer table](#) on the Inquiry website.

As with the former SDS officers, where there is no restriction order over an NPOIU officer’s real name, it will be displayed in the NPOIU officer table on the Inquiry website after it has been published in documentary evidence or otherwise shared publicly by the Inquiry. The real name of one NPOIU officer is currently displayed in the table.

Further statistics on anonymity applications from NPOIU officers are on page 13. These may change if new officers are uncovered or come forward at a later date.

### *Non-state core participants and witnesses*

All anonymity applications submitted by non-state core participants and witnesses have now been processed; where further individuals come forward or are contacted, the question of anonymity is considered at the outset of the individual’s engagement with the Inquiry.

For non-state core participants and witnesses – including women deceived into relationships with undercover officers - anonymity has been granted to 33 individuals.

## Issues lists

Another key milestone in the preparation for hearings are the issues lists that identify the focus and direction of the Inquiry's investigations.

The Inquiry has published two further issues lists over the past 12 months:

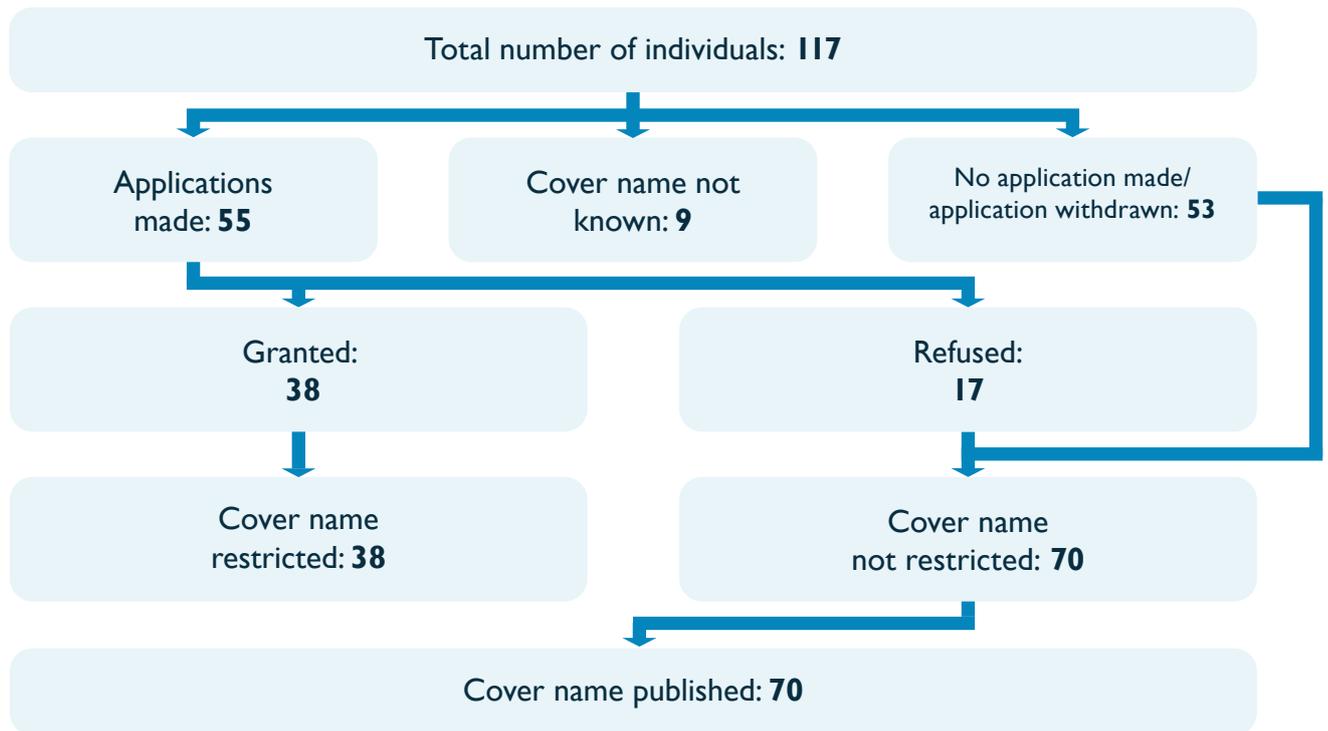
1. The [issues list](#) for the Module Two (B) investigation into the SDS was published on 22 July 2022, with the [draft version](#) being released for consultation on 19 April 2022. The list covers police management of the Special Demonstration Squad (SDS) by officers more senior than those leading the SDS, and the dissemination of information reported by SDS undercover police officers beyond the SDS. Ninety-five issues are included in the list, covering topics such as:
  - The dissemination of SDS reporting;
  - Senior managers' knowledge of and attitudes towards officers' conduct while undercover;
  - Whistleblowing;
  - Management of post-deployment conduct;
  - The welfare of undercover officers and their families; and
  - Interaction with the Security Service.
2. The [issues list](#) for the Inquiry's Module Two (C) investigation into the Tranche 1 era was published on 29 July 2022, with the [draft version](#) being released for consultation on 30 June 2022. Module Two (C) covers other government bodies with a connection to undercover policing. The list contains a total of 11 issues directed at official bodies including the Home Office, Security Service and Cabinet Office.

The lists for the Inquiry's [Module One investigations into the SDS, NPOIU and other policing operations](#) and for the Inquiry's [Module Two \(A\) investigation in the SDS](#) were published before the period covered by this update note.

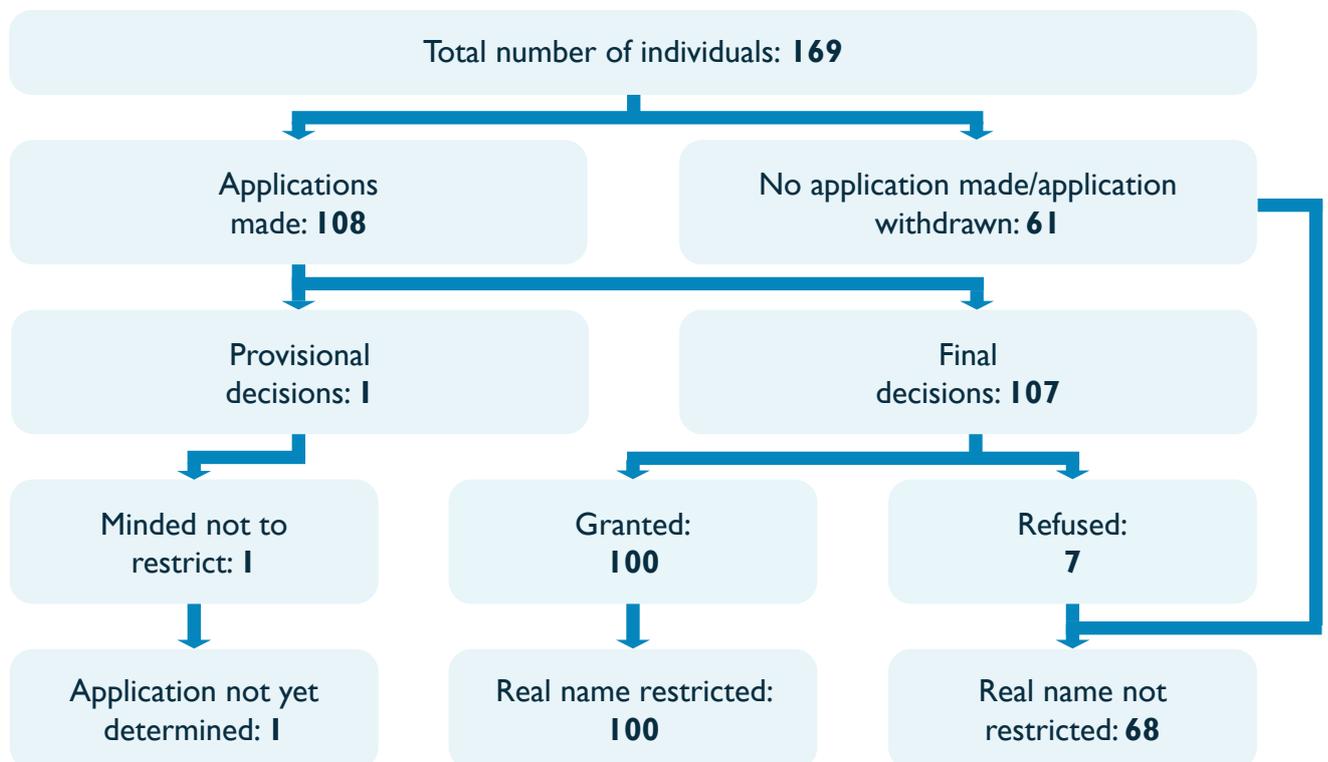
## Costs

As of 30 June 2022, the Inquiry has spent £54.4m. A full breakdown of the Inquiry costs is available on the '[About](#)' page of the Inquiry website. These costs are updated on a quarterly basis. The Chairman is supported by a team of around 100 staff.

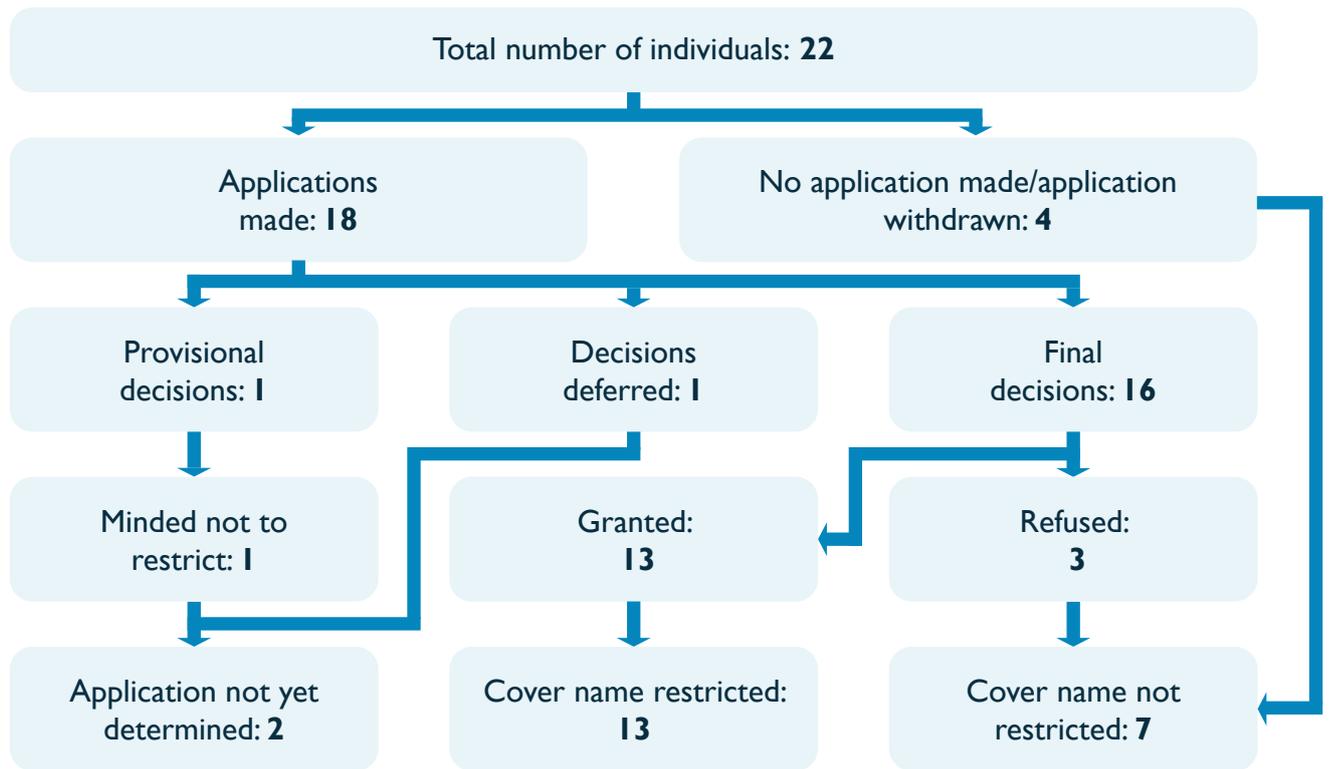
## Cover names (former SDS undercover police officers)



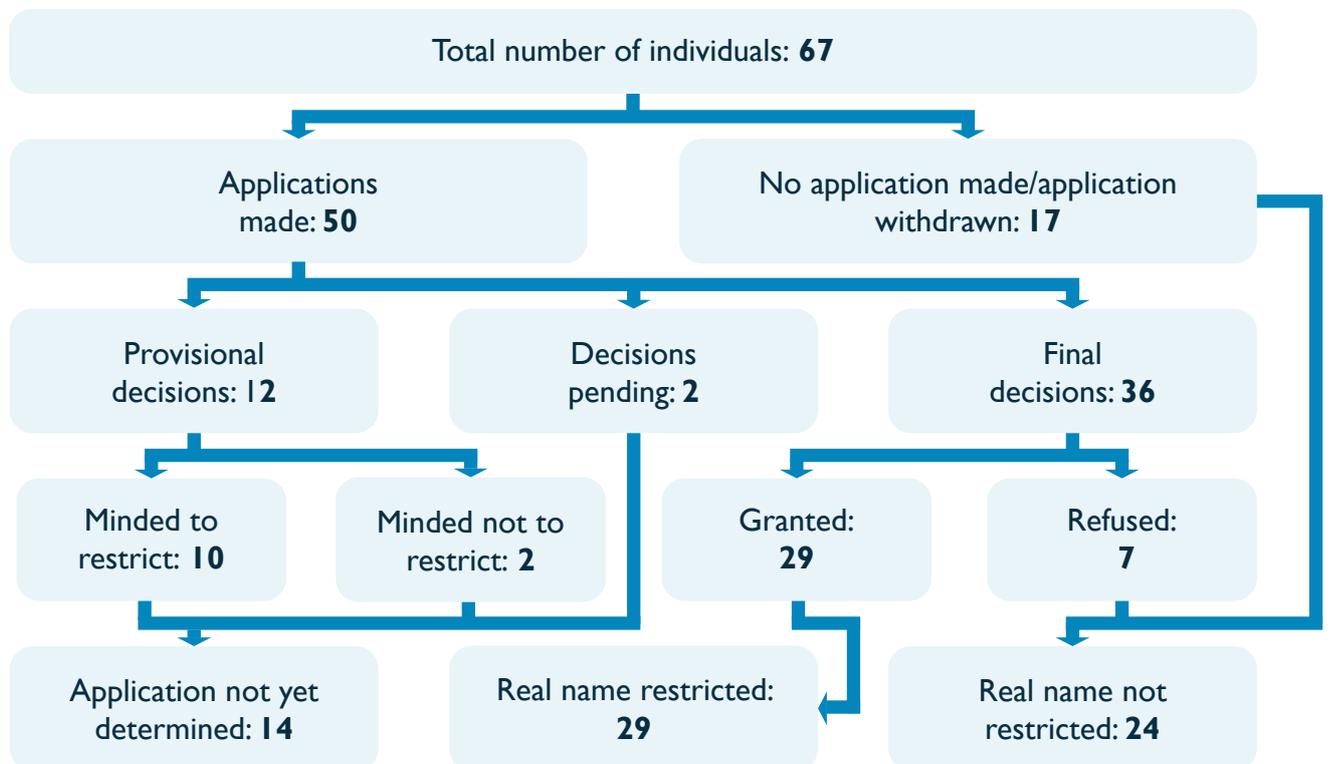
## Real names (all former SDS staff)

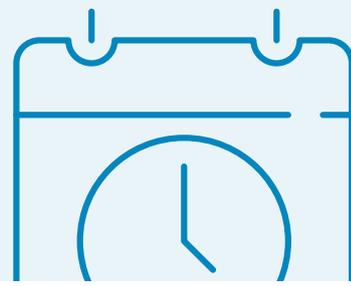


## Cover names (NPOIU undercover police officers)



## Real names (all NPOIU staff)





# Inquiry timetable

The timetable that follows sets out Inquiry projections for its future stages, where these are known. Although there remains some uncertainty around the completion date for certain stages, those milestones on which the dates are more certain are listed.

To manage such a broad remit, the Inquiry has divided its work for Modules One and Two into the following six “Tranches”:

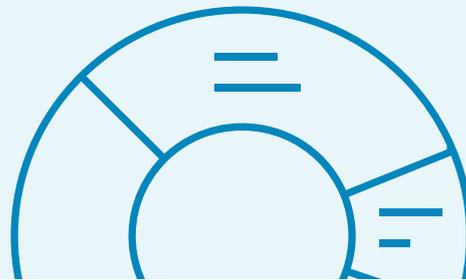
1. SDS officers and managers and those affected by deployments (1968-1982)
  - i. Phase 1 (P1): SOS officers and those affected by deployments (1968-1972)
  - ii. Phase 2 (P2): SDS officers and those affected by deployments (1973-1982)
  - iii. Phase 3 (P3): SDS managers (1968-1982)
2. SDS officers and managers and those affected by deployments (1983-1992)
3. SDS officers and managers and those affected by deployments (1993-2007)
4. NPOIU officers and managers and those affected by deployments
5. Other undercover policing and those affected by deployments
6. Management & oversight by mid and senior rank officers, other agencies and government departments

The table will be updated with additional dates on the Inquiry website on a quarterly basis. It is intended that all witnesses required or invited to take part in evidence hearings will be notified six months prior to commencement that the Inquiry would like them to give evidence with an indicative date of when this is likely to be and a more specific date closer to the time.

TRANCHE 1	TRANCHE 2	TRANCHE 3	TRANCHE 4	TRANCHE 5
Completion of anonymity applications <sup>6</sup>	TBA			
<b>COMPLETE</b>	<b>COMPLETE</b>	<b>COMPLETE</b>	TBA	TBA
Obtaining witness statements from officers and those affected by deployments	Obtaining witness statements from officers and managers	Obtaining witness statements from officers and managers	Obtaining witness statements from officers and managers	TBA
<b>COMPLETE</b>	ONGOING	ONGOING	ONGOING	TBA
Obtaining witness statements from managers	Obtaining witness statements from those affected by deployments	Obtaining witness statements from those affected by deployments	Obtaining witness statements from those affected by deployments	TBA
<b>COMPLETE</b>	TBA	TBA	TBA	TBA
Obtaining witness statements from other agencies, government departments and senior decision-makers	Obtaining witness statements from other agencies, government departments and senior decision-makers	Obtaining witness statements from other agencies, government departments and senior decision-makers	Obtaining witness statements from other agencies, government departments and senior decision-makers	TBA
ONGOING	TBA	TBA	TBA	TBA
P1 evidence hearings	Anticipated commencement of hearing	Anticipated commencement of hearing	Anticipated commencement of hearing	Anticipated commencement of hearing
<b>COMPLETE</b>				
P2 evidence hearings				
<b>COMPLETE</b>	SPRING 2024	TBA	TBA	TBA
P3 evidence hearings				
<b>COMPLETE</b>	Closing submissions for Tranche 2	Closing submissions for Tranche 3	Closing submissions for Tranche 4	Closing submissions for Tranche 5
Closing submissions for Tranche 1				
TBA	TBA	TBA	TBA	TBA
Interim report for Tranche 1				
ONGOING				

<sup>6</sup> There may be a few instances where new individuals are uncovered or come forward at a later date.

Module Three
Closing submissions for Module Three
TBA
Publication of the final report
TBA



# Other Inquiry statistics

## Core participants and other associated parties

- Core participants: **247**
- Number of core participants receiving funding for legal costs: **222**
- SDS and NPOIU staff identified as potentially having relevant evidence to give: **233**

## Witness statements<sup>7</sup>

- Investigation files completed: **278**
- Officer witness statements requested as part of substantive investigations: **85**
- Officer witness statements received as part of substantive investigations: **78**
- Civilian witness statements requested as part of substantive investigations: **15**
- Civilian witness statements received as part of substantive investigations: **21**

## Publications

- Directions published: **48**
- Rulings and notes published: **183**
- Orders published: **191**

## Hearings

- Days of evidence hearings: **26**
- Days of opening statement hearings: **14**
- Days of directions hearings and preliminary hearings: **18**

Further information on the Inquiry can be found in [our FAQs](#) and on [our website](#).

<sup>7</sup> These figures only includes witness statements requested and received in relation to the Inquiry's substantive investigations. This does not include the total number of requests for information made by the Inquiry including those in relation to preliminary issues such as deceased children's identifies, privacy, standard of proof and undertakings.



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